## IAP12 Rec'd PCT/PTO 01 SEP 2006

PTO-1390 (Rev. 07-2005)
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| TRANSMITTAL LETTER TO THE UNITED STATES  |  |  | ATTORNEY'S DOCKET NUMBER 3103-259                |  |  |  |  |
|--|--|--|--|--|--|--|--|
| DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371   |  | U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/583,802 |  |  |  |  |  |
| INTERNATIONAL APPLICATION PCT/FR2004/003323  | NO. INTERNATIONA<br>12/21/200  |  | PRIORITY DATE CLAIMED 12/22/2003                 |  |  |  |  |
| TITLE OF INVENTION METHOD AND APPARATUS FOR TREATING WASTEWATER  |  |  |  |  |  |  |  |
| APPLICANT(S) FOR DO/EO/US Essemiani et al.   |  |  |  |  |  |  |  |
|  | he United States Designated/Ele  | cted Office (DO/EC   | D/US) the following items and other information: |  |  |  |  |
| 1. This is a FIRST submiss   | 1. This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.   |  |  |  |  |  |  |
| 2. This is a SECOND or SU  | This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.                                  |  |  |  |  |  |  |
| 3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. |  |  |  |  |  |  |  |
| 4. The US has been electe  | d (Article 31).  |  |  |  |  |  |  |
| 5. A copy of the Internation   | onal Application as filed (35 U.S.C. 37  | ′1(c)(2))  |  |  |  |  |  |
| a. is attached h   | ereto (required only if not communica  | ited by the Internation                                    | nal Bureau).                                     |  |  |  |  |
| b. has been co   | mmunicated by the International Bure   | au.  |  |  |  |  |  |
| c. is not require  | ed, as the application was filed in the l  | United States Receiv                                       | ing Office (RO/US).                              |  |  |  |  |
| 6. An English language t   | ranslation of the International Applicat   | tion as filed (35 U.S.0                                    | C. 371(c)(2)).                                   |  |  |  |  |
| a. D is attached l   | nereto.  |  |  |  |  |  |  |
| b. 🔲 has been pr   | b. has been previously submitted under 35 U.S.C. 154(d)(4).  |  |  |  |  |  |  |
| 7. Amendments to the cla   | aims of the International Application u  | nder PCT Article 19  | (35 U.S.C. 371(c)(3))                            |  |  |  |  |
| a. are attache   | a. are attached hereto (required only if not communicated by the International Bureau).  |  |  |  |  |  |  |
| b. have been   |  |  |  |  |  |  |  |
| c. have not be   |  |  |  |  |  |  |  |
| d. have not be   |  |  |  |  |  |  |  |
| 8. An English language   | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).                                    |  |  |  |  |  |  |
| 9. X An oath or declaration  | An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).   |  |  |  |  |  |  |
|  | An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). |  |  |  |  |  |  |
| Items 11 to 20 below concern document(s) or information included:  |  |  |  |  |  |  |  |
| 11. An Information Disclos   | An Information Disclosure Statement under 37 CFR 1.97 and 1.98.  |  |  |  |  |  |  |
| 12. X An assignment docum  | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.                              |  |  |  |  |  |  |
| 13. A preliminary amendm   | A preliminary amendment.   |  |  |  |  |  |  |
| 14. An Application Data S  | An Application Data Sheet under 37 CFR 1.76.   |  |  |  |  |  |  |
| 15. A substitute specificati   | A substitute specification.  |  |  |  |  |  |  |
| 16. X A power of attorney ar   | A power of attorney and/or change of address letter.   |  |  |  |  |  |  |
| 17. A computer-readable to   | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.                                  |  |  |  |  |  |  |
| 18. A second copy of the   | A second copy of the published International Application under 35 U.S.C. 154(d)(4).  |  |  |  |  |  |  |
| 19. A second copy of the I   | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).                                  |  |  |  |  |  |  |

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Page 1 of 3

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| U.S. APPLICATION NO. (if known, see 37 CFR 1.5)   |  | INTERNATIONAL APPLICATION NO.                          |                                    | ATTORNEY'S DOCKET NUMBER |              |  |
|---|--|--|------------------------------------|--------------------------|--------------|--|
| 10/583,802  |  | PCT/FR2004/00  | PCT/FR2004/003323                  |                          | 3103–259     |  |
| 20. Other items   | s or information:  |  |                                    |                          |              |  |
| The following   | fees have been submitted   | <del></del>  |                                    | CALCULATIONS             | PTO USE ONLY |  |
| ·   | ional fee (37 CFR 1.492(a))  | \$   | T TO USE CIVET                     |                          |              |  |
|   |  |  |                                    |                          |              |  |
|   | on fee (37 CFR 1.492(c))   |  |                                    |                          |              |  |
| by IPEA/US in   | repared by ISA/US or the intendicates all claims satisfy provis  | \$   |                                    |                          |              |  |
| If the written opinion o<br>IPEA/US indic<br>Search fee (37 CFR 1<br>International Search R<br>previously com | the (37 CFR 1.492(b)) If the ISA/US or the International teates all claims satisfy provision (445(a)(2)) has been paid on the carching Authority. It is a separate by an ISA other immunicated to the US by the IB | \$   |                                    |                          |              |  |
|   | AL OF 21, 22 and 23 =  |  |                                    |                          |              |  |
| Additional fee for sequence listing electronic med  | specification and drawings filed<br>ng in compliance with 37 CFR<br>dium) (37 CFR 1.492(j)).<br>0 for each additional 50 sheets  | 1.821(c) or (e) or computer p                          | excluding<br>program listing in an |                          |              |  |
| Total Sheets Extr   |  |  |                                    |                          |              |  |
| - 100 =   | /50 =  |  | x \$250                            | \$                       | 1            |  |
| Surcharge of \$130.00 after the date of comm  | for furnishing any of the search<br>nencement of the national stage  | n fee, examination fee, or the<br>e (37 CFR 1.492(h)). | e oath or declaration              | \$                       |              |  |
| CLAIMS  | NUMBER FILED   | NUMBER EXTRA   | RATE                               | \$                       | <b>!</b>     |  |
| Total claims  | - 20 =   |  | × \$ 50                            | \$                       |              |  |
| Independent claims  | -3=  |  | × \$200                            | \$                       |              |  |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360   |  |  |                                    | \$                       |              |  |
|   |  | \$   | 1                                  |                          |              |  |
| Applicant claims s  | mall entity status. See 37 CFR   |  |                                    |                          |              |  |
|   |  | \$   |                                    |                          |              |  |
| Processing fee of \$130 claimed priority date (3  | 0.00 for furnishing the English (<br>37 CFR 1.492(i)).   | \$   |                                    |                          |              |  |
|   |  | \$   |                                    |                          |              |  |
| Fee for recording the e   | enclosed assignment (37 CFR er sheet (37 CFR 3.28, 3.31). \$   | 1.21(h)). The assignment mu<br>40.00 per property      | ust be accompanied                 | \$ 40.00                 | · · · ·      |  |
|   |  | \$ 40.00   |                                    |                          |              |  |
|   |  |  |                                    | Amount to be refunded:   | \$           |  |
|   |  |  |                                    | Amount to be charged     | \$           |  |

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| a. X   | A check in the amount of \$ 40.00 to cover the a  | bove fees is enclosed.                                     |  |  |  |  |
|--|---|--|--|--|--|--|
| ь. 🗆   | Please charge my Deposit Account No in the amount of A duplicate copy of this sheet is enclosed.  | of \$ to cover the above fees.                             |  |  |  |  |
| c. 🗌   | The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No A duplicate copy of this sheet is enclosed.  |  |  |  |  |  |
| d. 🗀   | Fees are to be charged to a credit card. <b>WARNING</b> : Information on this form may become public. <b>Credit card information should not be included on this form</b> . Provide credit card information and authorization on PTO-2038. |  |  |  |  |  |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. |   |  |  |  |  |  |
| Larr<br>Coat   | LL CORRESPONDENCE TO:  y L. Coats s & Bennett, PLLC Crescent Green, Ste. 300 , NC 27518   | SIGNATURE Larry L. Coats  NAME 25,620  REGISTRATION NUMBER |  |  |  |  |